

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:) Art Unit: 3627
) Examiner: Unknown
Dillon) 16698.11.18
Serial No:	10/578,037
Filed:	May 2, 2006
For:	AUTHENTICATION AND TRACKING SYSTEM
To:	Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This communication is being transmitted along with this Disclosure Statement to incorporate that US Pub. No. 2003/0141358, having been previously disclosed by Applicant via Information Disclosure Statement filed on December 1, 2006, claims priority to PCT No. PCT/GB01/02480, published as Pub. No. WO/0195249. PCT/GB01/02480 is the parent of the following three filed Great Britain patent applications:

- (i) application no. GB001366A0, filed June 5, 2000;
- (ii) application no. GB0015091A0, filed June 20, 2000; and
- (iii) application no. GB0019258A0, filed August 4, 2000.

The above Great Britain patent applications are not available for citing in this Supplemental Information Disclosure Statement as they were withdrawn before being published. Therefore, we have only cited WO/0195249 herein.

Receipt date: 12/21/2006

Respectfully submitted,

Dated: December 21, 2006

/John S. Parzych/

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10701377
	Filing Date		2003-11-03
	First Named Inventor	David G. Dillon	
	Art Unit	3627	
	Examiner Name	Gerald J. O'Connor	
Attorney Docket Number		16698.11.1	

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	WO/0195249	GB		2001-12-13	OP-TAGLIO LIMITED		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

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Filing Date	2003-11-03
First Named Inventor	David G. Dillon
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1	World Health Organization. Substandard and counterfeit medicines. [retrieved on 2006-12-15] retrieved from the internet http://www.who.int/mediacentre/factsheets/fs275/en/print.html	<input type="checkbox"/>
2	HOPKINS, DM, KONTEK, LT, and TURNAGE, MT. Counterfeiting Exposed; Protecting Your Brand and Customers, Chapter 12, "Anticounterfeiting Technology Solutions," pp 249-262	<input type="checkbox"/>
3	JOHNSTON, RG. Tamper detection for safeguards and treaty monitoring: fantasies, realities, and potentials. Nonproliferation Review 2001 Spring; 8 (1); 102-115	<input type="checkbox"/>
4	JOHNSTON, RG and GARCIA, ARE. An annotated taxonomy of tag and seal vulnerabilities. Journal of Nuclear Materials Management 2000 Spring; 229 (3); 23-30	<input type="checkbox"/>
5	JOHNSTON, RG. Tamper-indicating seals for nuclear disarmament and hazardous waste management. Science and Global Security 2001; 9 (2); 93-112	<input type="checkbox"/>
6	JOHNSTON, RG, GARCIA, ARE, and PACHECO, AN. Efficacy of tamper-indicating devices. Journal of Homeland Security 2002, April 16	<input type="checkbox"/>
7	JOHNSTON, RG and GARCIA, ARE. Analyzing vulnerability results for tag and tamper-indicating seals. Proceedings of the U.S. Army Conference on Applied Statistics; 2001 October 24-26; Santa Fe, NM. pp. 60-88	<input type="checkbox"/>
8	KARSTEN, R. Tags tag counterfeits: as counterfeiting methods become increasingly sophisticated, more advanced technologies are needed to fight back. Manufacturing Chemist 2003 January 1; 73 (1) [retrieved 2006-11-30] retrieved from the internet http://www.manufacturing-chemist.info/story.asp?storyCode=15146	<input type="checkbox"/>
9	KONTEK, L. Counterfeits: the cost of combat. Pharmaceutical Executive 2003 November 1 [retrieved 2006-12-15] retrieved from the internet http://www.pharmexec.com/pharmexec/content/printContentPopup.jsp?id=75674	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	/Jamie Kucab/	Date Considered	04/30/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 509. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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	Attorney Docket Number	16698.11.1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John S. Parzych/	Date (YYYY-MM-DD)	2006-12-21
Name/Print	John S. Parzych	Registration Number	52097

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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